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ELECTRONIC COMMUNICATION DEVICES,  
METHODS OF FORMING ELECTRICAL  
COMMUNICATION DEVICES, AND  
COMMUNICATIONS METHODS

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1 TECHNICAL FIELD

2 The present invention relates to electronic communication devices,  
3 methods of forming electrical communication devices, and communications  
4 methods.

5  
6 BACKGROUND OF THE INVENTION

7 Electronic identification systems typically comprise two devices  
8 which are configured to communicate with one another. Preferred  
9 configurations of the electronic identification systems are operable to  
10 provide such communications via a wireless medium.

11 One such configuration is described in U.S. Patent Application  
12 Serial Number 08/705,043, filed August 29, 1996, assigned to the  
13 assignee of the present application and incorporated herein by reference.  
14 This application discloses the use of a radio frequency (RF)  
15 communication system including an interrogator and a transponder such  
16 as a tag or card.

17 The communication system can be used in various identification  
18 and other applications. The interrogator is configured to output a  
19 polling signal which may comprise a radio frequency signal including a  
20 predefined code. The transponders of such a communication system are  
21 operable to transmit, reflect or backscatter an identification signal  
22 responsive to receiving an appropriate polling signal. More specifically,  
23 the appropriate transponders are configured to recognize the predefined  
24 code. The transponders receiving the code subsequently output a

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1 particular identification signal which is associated with the transmitting  
2 transponder. Following transmission of the polling signal, the  
3 interrogator is configured to receive the identification signals enabling  
4 detection of the presence of corresponding transponders.

5 Such communication systems are useable in identification  
6 applications such as inventory or other object monitoring. For example,  
7 a remote identification device is attached to an object of interest.  
8 Responsive to receiving the appropriate polling signal, the identification  
9 device is equipped to output the appropriate identification signal.  
10 Generating the identification signal identifies the presence or location  
11 of the article or object.

12 Such identification systems configured to communicate via radio  
13 frequency signals are susceptible to incident RF radiation. Reflected RF  
14 radiation can cause problems in environments having metal structures.  
15 For example, application of transponders to objects comprising metal  
16 may result in decreased or no performance depending on the spacing  
17 of the transponder antenna to the nearest metal on the object.

18 Therefore, there exists a need to reduce the effects of incident  
19 RF radiation upon the operation of communication devices of an  
20 electronic identification system.

1 SUMMARY OF THE INVENTION

2 According to one embodiment of the invention, an electronic  
3 communication device, such as a remote intelligent communication device  
4 and a radio frequency identification device, is provided which includes  
5 a substrate, conductive layer, at least one antenna and an integrated  
6 circuit. The integrated circuit includes at least one of a modulator and  
7 receiver. The conductive layer is configured as a ground plane to  
8 interact with the antenna. In particular, the ground plane shields some  
9 electronic signals from the antenna while reflecting other electronic  
10 signals toward the antenna. The conductive layer is preferably coupled  
11 with a power source which electrically grounds the conductive layer.

12 In one aspect of the invention, a radio frequency identification  
13 device comprises an integrated circuit including a receiver, a modulator  
14 and a processor; an antenna operably coupled with the integrated circuit  
15 and configured to at least one of transmit and receive electronic signals;  
16 and a conductive layer spaced from and configured to interact with the  
17 antenna.

18 The integrated circuit comprises transponder circuitry in accordance  
19 with other aspects of the present invention. The transponder circuitry  
20 is configured to output an identification signal responsive to receiving  
21 a polling signal from an interrogator.

22 Additional aspects of the present invention provide methods of  
23 forming an electronic signal communication device and a radio frequency  
24 identification device. One embodiment provides an encapsulant to form

1 a portion of a housing. Further, the invention provides for methods of  
2 operating a radio frequency identification device and methods of  
3 communicating including shielding and reflecting electronic signals.

#### 4 5 BRIEF DESCRIPTION OF THE DRAWINGS

6 Preferred embodiments of the invention are described below with  
7 reference to the following accompanying drawings.

8 Fig. 1 is a block diagram of an electronic communication system  
9 including an interrogator and an electronic communication device  
10 embodying the invention.

11 Fig. 2 is a front elevational view of the electronic communication  
12 device.

13 Fig. 3 is a rear elevational view of the electronic communication  
14 device.

15 Fig. 4 is a front elevational view of the electronic communication  
16 device at an intermediate processing step.

17 Fig. 5 is a front elevational view of the electronic communication  
18 device at an intermediate processing step downstream of the step shown  
19 in Fig. 4.

20 Fig. 6 is cross-sectional view, taken along line 6-6, of the  
21 electronic communication device shown in Fig. 5.

22 Fig. 7 is a cross-sectional view, similar to Fig. 6, showing a  
23 housing of the electronic communication device.  
24

1 DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

2 This disclosure of the invention is submitted in furtherance of the  
3 constitutional purposes of the U.S. Patent Laws "to promote the  
4 progress of science and useful arts" (Article 1, Section 8).

5 The disclosure of the present invention discloses embodiments of  
6 various electronic communication devices. The electronic communication  
7 devices are fabricated in card configurations (which include tags or  
8 stamps) according to first and second aspects of the present invention.  
9 The embodiments are illustrative and other configurations of the  
10 electronic communication device according to the present invention are  
11 possible. Certain embodiments of the electronic communication devices  
12 comprise radio frequency identification devices (RFID) and remote  
13 intelligent communication devices (RIC). According to additional aspects  
14 of the present invention, methods of forming an electronic  
15 communication device and a radio frequency identification device are  
16 also provided. The present invention also provides a method of  
17 communicating and methods of operating a radio frequency identification  
18 device.

19 Referring to Fig. 1, a remote intelligent communication device or  
20 electronic communication device 10 comprises part of a communication  
21 system 12. The remote intelligent communication device is capable of  
22 functions other than the identifying function of a radio frequency  
23 identification device. A preferred embodiment of the remote intelligent  
24 communication device includes a processor.

The communication system 12 shown in Fig. 1 further includes an interrogator unit 14. An exemplary interrogator 14 is described in detail in U.S. Patent Application Serial Number 08/806,158, filed February 25, 1997, assigned to the assignee of the present application and incorporated herein by reference. The electronic communication device 10 communicates via electronic signals, such as radio frequency (RF) signals, with the interrogator unit 14. Electronic signals or radio frequency signals including microwave signals are utilized for communications in a preferred embodiment of communication system 12.

The communication system 12 further includes an antenna 16 coupled to the interrogator unit 14. An exemplary radio frequency communication system is described in U.S. Patent Application Serial No. 08/705,043, which was incorporated above.

Referring to Fig. 2, the electronic communication device 10 includes an insulative substrate or layer of supportive material 18. The term "substrate" as used herein refers to any supporting or supportive structure, including but not limited to, a supportive single layer of material or multiple layer constructions. Example materials for the substrate 18 comprise polyester, polyethylene or polyimide film having a thickness of 4-6 mils (thousandths of an inch). A plurality of ink layers (not shown) are applied to substrate 18 in other embodiments of the invention. Substrate 18 provides an outer periphery 21 of device 10. The substrate 18 defines a first portion of a housing for the electronic communication device 10.

Referring to Fig. 3, substrate 18 includes a support surface 20. A conductive layer 22 is formed or applied over the support surface 20 of substrate 18. Alternatively, conductive layer 22 could be provided directly on substrate 18 by the supplier of such substrate material or applied directly thereon by the manufacturer of the device. In the illustrated embodiment, conductive layer 22 covers the entire support surface 20 providing an electrically conductive upper surface 23. A portion of conductive layer 22 has been peeled away in Fig. 3 to reveal a portion of support surface 20 of substrate 18 therebelow. The illustrated conductive layer 22 defines a plurality of outer peripheral edges 19 adjacent periphery 21.

Alternatively, conductive layer 22 is formed to cover predefined portions of the support surface 20. In the embodiments wherein conductive layer 22 is patterned, the layer is preferably formed adjacent support surface 20 and an antenna formed in subsequent process steps, described in detail below. Example materials for conductive layer 22 include copper, graphite or a conductive polymer. Conductive layer 22 is substantially planar in a preferred embodiment of the invention. A preferred thickness range is from 100 Angstroms to 100 microns.

Referring to Fig. 4, an intermediate processing step following the providing of conductive layer 22 is described. In one embodiment, a dielectric layer 24 having a dielectric surface 25 is provided such as by deposition upon the entire upper surface 23 of conductive layer 22. A portion of dielectric layer 24 has been peeled away in Fig. 4 to reveal



1 a portion of surface 23 of conductive layer 22 therebelow. The  
2 illustrated dielectric layer 24 has a plurality of outer peripheral  
3 edges 17 adjacent periphery 21. Alternatively, layer 24 comprises a  
4 patterned insulating material which covers predefined portions of  
5 conductive surface 23 in another embodiment of the invention.

6 Exemplary thicknesses of dielectric layer 24 are from 100 microns  
7 to 30 mils. It is preferred to provide a dielectric layer 24 comprising  
8 a material having a low dielectric constant. Therefore, the circuitry  
9 including an antenna to be formed over the dielectric layer 24 can be  
10 provided spaced far apart from conductive layer 22. An exemplary  
11 material of dielectric layer 24 is a self-supporting polyester film similar  
12 to substrate 18.

13 An opening or via 26 is provided through dielectric layer 24, such  
14 as by etching. Alternatively, via 26 can be etched or otherwise cut into  
15 the polyester film dielectric layer 24 prior to the application thereof to  
16 conductive layer 22.

17 After provision of the conductive layer 22 and dielectric layer 24,  
18 a patterned conductive trace 30 is formed or applied over the  
19 substrate 18 directly atop the dielectric layer 24 and dielectric  
20 surface 25 thereof. A preferred conductive trace 30 comprises silver  
21 ink or printed thick film (PTF). One manner of forming or applying  
22 the conductive ink is to screen or stencil print the ink on the dielectric  
23 layer 24 through conventional screen printing techniques. The  
24 conductive ink forms desired electrical connections with and between

1 electronic components which will be described below. In instances  
2 where substrate 18 forms a portion of a larger roll of polyester film  
3 material, the printing of conductive trace 30 can take place  
4 simultaneously for a number of the to-be-formed electronic  
5 communication devices.

6 Conductive trace 30 forms conductive connections 28, 55 in the  
7 illustrated embodiment. Connections 28, 55 provide electrical connection  
8 of integrated circuitry to and through via 26. The illustrated conductive  
9 trace 30 further provides antennas 32, 34 which are suitable for  
10 respectively transmitting and receiving electronic signals or RF energy.  
11 The illustrated antenna 32 constitutes a loop antenna having outer  
12 peripheral edges 37. Antenna 34 comprises outer peripheral edges 38.

13 Other antenna constructions of antennas 32, 34 are possible. In  
14 alternative embodiments of the present invention, only a single antenna  
15 such as antenna 32 is provided for both transmit and receive operations.  
16 In a preferred embodiment, conductive connections 28, 55 and  
17 antennas 32, 34 are formed in a common printing step.

18 The substrate 18 includes outer periphery 21 inside of which a  
19 portion, and preferably the entire antennas 32, 34 extend or lie. In  
20 particular, edges 37, 38 of respective antennas 32, 34 are preferably  
21 provided within the confines of peripheral edges 19 of conductive  
22 layer 22 and peripheral edges 17 of dielectric layer 24. According to  
23 one embodiment, antenna 32 has a length within the range of  
24 80 mm - 95 mm and is tuned to 2.45 GHz.

Conductive trace 30 additionally includes a plurality of power source terminals, including a first connection terminal 53 and a second connection terminal 58. Connection terminals 53, 58 are formed on dielectric surface 25 of device 10.

Conductive layer 22 can be used to operate as a ground plane and interact with antennas 32, 34. In particular, conductive layer 22 can be used to form a radio frequency (RF) shield. Inasmuch as the preferred embodiment of electronic communication device 10 communicates via wireless signals, it is desired to reduce or minimize interference, such as incident RF radiation. Conductive layer 22 interacts with antenna 32, 34 to improve RF operation.

In one embodiment, conductive layer 22 operates to shield some electronic signals from the antennas 32, 34 and reflect other electronic signals toward the antennas 32, 34. Conductive layer 22 includes a first side, which faces away from antennas 32, 34 (opposite surface 23) and a second side, which faces antenna 32, 34 (same as surface 23). Electronic signals received on the first side of the conductive layer 22 are shielded or blocked by layer 22 from reaching the antennas 32, 34. Electronic signals received on the second side of the conductive layer 22 which pass by or around antennas 32, 34 are reflected by layer 22. Such shielding and reflecting by conductive layer 22 provides a highly directional electronic communication device 10. The providing of conductive layer 22 within electronic communication device 10 results in increased reliability in the wireless communications with interrogator 14.

1 One embodiment of an electronic communication device 10  
2 provides for a power source 52 (shown in phantom in Fig. 4). The  
3 power source 52 is disposed within antenna 32 in one embodiment of  
4 electronic communication device 10. A plurality of power source  
5 terminals, including first connection terminal 53 and a second connection  
6 terminal 58, are formed on dielectric surface 25 in the illustrated  
7 device 10.

8 Referring to Figs. 4-6, power source 52 and an integrated  
9 circuit 54 are provided and mounted on dielectric surface 25 and  
10 supported by substrate 18. Other components including capacitors 57  
11 may also be mounted on surface 25. Power source 52 provides  
12 operational power to the electronic communication device 10 and  
13 selected components therein, including integrated circuit 54. In the  
14 illustrated embodiment, power source 52 is a battery. The battery is  
15 preferably a thin profile battery which includes first and second  
16 terminals of opposite polarity. More particularly, the battery has a lid  
17 or negative (i.e., ground) terminal or electrode, and a can or positive  
18 (i.e., power) terminal or electrode.

19 Conductive epoxy is applied over desired areas of the dielectric  
20 surface 25 using conventional printing techniques, such as stencil  
21 printing, to assist in component attachment described just below.  
22 Alternately, solder or another conductive material is employed instead  
23 of conductive epoxy. The power source 52 is provided and mounted  
24 on dielectric surface 25 using the conductive epoxy. Integrated

1 circuit 54 is also provided and mounted or conductively bonded on the  
2 dielectric surface 25 using the conductive epoxy. Integrated circuit 54  
3 can be mounted either before or after the power source 52 is mounted  
4 on the dielectric surface 25.

5 Integrated circuit 54 includes suitable circuitry for an electronic  
6 communication device 10. For example, in one embodiment, the  
7 integrated circuit 54 includes a processor 62, memory 63, and  
8 transponder circuitry 64 for providing wireless communications with  
9 interrogator unit 14. An exemplary and preferred integrated circuitry  
10 package 54 is described in U.S. Patent Application Serial 08/705,043  
11 incorporated by reference above.

12 Transponder circuitry 64 includes a modulator and a receiver.  
13 The receiver is configured to receive electronic signals and the  
14 modulator is configured to output or communicate electronic signals.  
15 The modulator comprises an active transmitter or a backscatter device  
16 according to certain embodiments of the present invention. Such  
17 outputting or communicating of the electronic signal via the modulator  
18 comprises one of transmitting the electronic signal and reflecting a  
19 received signal in the described embodiments.

20 When configured as an active transmitter, the modulator of  
21 transponder circuitry 64 is operable to transmit an electronic signal such  
22 as a identification signal responsive to the receiver receiving a polling  
23 signal. Processor 62 is configured to process the polling signal to  
24 detect a predefined code within the polling signal. Responsive to

1 detection of an appropriate polling signal, processor 62 instructs  
2 transponder circuitry 64 to output or communicate an identification  
3 signal. The identification signal contains an appropriate code to identify  
4 the particular device 10 transmitting the identification signal.

5 Alternatively, when embodied as a backscatter device, the  
6 modulator of transponder circuitry 64 operates to selectively reflect a  
7 received electronic signal following processing of the signal within  
8 processor 62. The reflected signal also serves to identify the particular  
9 device 10 communicating the reflected signal.

10 First and second connection terminals 53, 58 are coupled to the  
11 integrated circuit 54 by conductive epoxy in accordance with a preferred  
12 embodiment of the invention. The conductive epoxy also electrically  
13 connects the first terminal of the power source 52 to the first  
14 connection terminal 53 (shown in phantom in Fig. 5). In the illustrated  
15 embodiment, power source 52 is placed lid down such that the  
16 conductive epoxy makes electrical contact between the negative terminal  
17 of the power source 52 and the first connection terminal 53.

18 Power source 52 has a perimetral edge 56, defining the second  
19 power source terminal, which is disposed adjacent second connection  
20 terminal 58. In the illustrated embodiment, perimetral edge 56 of the  
21 power source 52 is cylindrical, and the connection terminal 58 is arcuate  
22 and has a radius slightly greater than the radius of the power  
23 source 52, so that connection terminal 58 is closely spaced apart from  
24 the edge 56 of power source 52.

Subsequently, conductive epoxy is dispensed relative to perimetral edge 56 and electrically connects perimetral edge 56 with connection terminal 58. In the illustrated embodiment, perimetral edge 56 defines the can of the power source 52, such that the conductive epoxy connects the positive terminal of the power source 52 to connection terminal 58. The conductive epoxy is then cured.

Referring specifically to Fig. 6, first connection terminal 53 is shown coupled with a first pin 35 of integrated circuit 54. Antenna 32 is additionally coupled with integrated circuit 54 providing electrical connection for the transfer of signals corresponding to the wireless signals or RF energy transmitted and received by antenna 32. The illustrated capacitor 57 is shown coupled with connection terminal 58 and the integrated circuit 54 via a connection 59.

Antenna 32 defines a plane 33 which is substantially parallel to conductive layer 22 in the embodiment of electronic communication device 10 shown in Fig. 6. Although not shown in Fig. 6, antenna 34 may also define a plane substantially parallel to conductive layer 22.

The illustrated integrated circuit 54 is shown electrically coupled with the conductive layer 22. Connection 28 provides electrical connection of integrated circuit 54 and via 26. Conductive connection 55 provided within via 26 provides electrical connection through via 26 to conductive layer 22. Connections 28, 55 operate to conductively bond integrated circuit 54 and conductive layer 22 through pin 31.

1 The conductive bonding of integrated circuit 54 with conductive  
2 connections 28, 55 and antennas 32, 34 is provided in a single  
3 processing step in accordance with the preferred embodiment of the  
4 present invention.

5 In one embodiment, conductive layer 22 is electrically coupled with  
6 the ground (i.e., negative) terminal of power source 52 through the  
7 integrated circuit 54. In particular, the ground terminal of power  
8 source 52 is coupled with the  $V_{ss}$  node of integrated circuit 54 via  
9 connection terminal 53. The conductive layer 22 is electrically coupled  
10 with the  $V_{ss}$  node and the negative terminal of power source 52 via  
11 conductive connection 28, 55 and third pin 31 of integrated circuit 54.  
12 It follows that a common reference voltage is established within  
13 integrated circuit 54 and conductive layer 22. In an alternative  
14 embodiment (not shown), conductive layer 22 is coupled directly with  
15 the ground electrode of the power source 52.

16 Further alternatively, no electrical connection is made to ground  
17 plane/conductive layer 22. In such an embodiment, ground  
18 plane/conductive layer 22 is insulated and the voltage of layer 22 is  
19 permitted to float.

20 Referring to Fig. 7, an encapsulant, such as encapsulating epoxy  
21 material 67, is subsequently formed to encapsulate the substrate 18 to  
22 cover the integrated circuit 54, power source 52, conductive circuitry 30,  
23 and a portion of the dielectric layer 24, and to define a portion of a  
24 housing 27 for the electronic communication device 10. Housing 27 also



1 comprises substrate 18 in addition to the encapsulating epoxy  
2 material 67. In one embodiment, housing 27 of electronic  
3 communication device 10 has a width of about 3.375 inches, a height  
4 of about 2.125 inches, and a thickness less than or equal to about  
5 0.090 inch.

6 An exemplary encapsulant is a flowable encapsulant. The flowable  
7 encapsulant is subsequently cured following the appropriate covering of  
8 the integrated circuit 54, power source 52, conductive circuitry 30, and  
9 the dielectric layer 24, forming a substantially void-free housing or solid  
10 mass. In the illustrated embodiment, such epoxy 67 constitutes a two-  
11 part epoxy having a resin and a hardener which are sufficient to  
12 provide a desired degree of flexible rigidity. Such encapsulation of  
13 electronic communication device 10 is described in U.S. Patent  
14 Application Serial Number 08/800,037, filed February 13, 1997, assigned  
15 to the assignee of the present application, and incorporated herein by  
16 reference.

17 In compliance with the statute, the invention has been described  
18 in language more or less specific as to structural and methodical  
19 features. It is to be understood, however, that the invention is not  
20 limited to the specific features shown and described, since the means  
21 herein disclosed comprise preferred forms of putting the invention into  
22 effect. The invention is, therefore, claimed in any of its forms or  
23 modifications within the proper scope of the appended claims  
24 appropriately interpreted in accordance with the doctrine of equivalents.